



16<sup>th</sup> October, 2019

To,  
Listing Compliances  
BSE Limited  
P. J. Towers,  
Fort,  
Mumbai - 400 001.

Scrip Code : 512149  
Scrip Id : AVANCE

**Subject: Submission of Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulation, 2015 for the Half Year ended 30<sup>th</sup> September, 2019.**

Dear Sir/Madam,

We are enclosing herewith Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 issued by a Practicing Company Secretary for the Half Year ended 30<sup>th</sup> September, 2019.

Kindly take the above information on your records.

Thanking you,

For Avance Technologies Limited

  
Srikrishna Bhamidipati  
Managing Director  
DIN: 02083384



Avance Technologies Limited

Reg. Off: Office No. 7, 5<sup>th</sup> Floor, Block-A, Aidun Building, 1<sup>st</sup> Dhobi Talao Lane, Mumbai - 400002. Phone No.: +919987053725

Email: [info@avance.in](mailto:info@avance.in) / [avancetechnologiesltd@gmail.com](mailto:avancetechnologiesltd@gmail.com)

CIN: L51900MH1985PLC035210 Website: [www.avance.in](http://www.avance.in)

# NEELAM AHIRE

B.Com. A.C.S. L.L.B.



To,

The Board of Directors  
Avance Technologies Limited,  
Office No. 7, 5<sup>th</sup> Floor, Block-A,  
Aidun Building, 1<sup>st</sup> Dhobi Talao Lane,  
Mumbai – 400 002

Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2019.

UDIN: A044679A000096401

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Avance Technologies Limited** maintained by **Purva Share Registry (India) Private Limited** its Registrar and Share Transfer Agents, pertaining to transfer of equity Shares of the Company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- During the half year ended 30<sup>th</sup> September, 2019, all share certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange except those rejected on technical grounds.
- The Company had received request relating to Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures, Sub-division, Renewal, and Consolidation etc. during the period as entered in the Memorandum of Transfers and the same has been issued within thirty days of the date of lodgment for transfers.
- As per the records made available to us, there were no requests relating to exchange or endorsement of calls/allotment monies received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019.**

  
Neelam Ahire  
Practicing Company Secretary  
C. P. No:-18915  
M. No:-44679

Date: 16/10/2019  
Place: Thane