

29th April, 2021

To, Listing Compliances **BSE Limited** P. J. Towers, Fort, Mumbai - 400 001.

Scrip Code : 512149 Scrip Id : AVANCE

<u>Subject: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st <u>March, 2021.</u></u>

Dear Sir/Madam,

We are enclosing herewith Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by a Practicing Company Secretary for the half year ended on 31st March, 2021.

Kindly take the above cited information on your records.

Thanking you,

For Avance Technologies Limited

Srikrishna Bhamidipati Managing Director DIN: 02083384

NEELAM AHIRE

B.Com, A.C.S, L.L.B.

To, **The Board of Directors Avance Technologies Limited,** Office No. 7, 5th Floor, Block – A, Aidun Building, 1st Dhobi Talao Lane, Mumbai – 400 002.

<u>Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure</u> <u>Requirements) Regulations, 2015 for the half year ended on 31st March, 2021.</u>

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Avance Technologies Limited maintained by Purva Sharegistry (India) Private Limited its Registrar and Share Transfer Agents, pertaining to transfer of equity Shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- The Company had not received any request relating to Share/Debenture Certificate(s) for transfer, sub-division, renewal or consolidation during the period from 1st October, 2020 to 31st March, 2021.
- As per the records made available to us, there were no requests relating to exchange or endorsement of calls/allotment monies received during the period from 1st October, 2020 to 31st March, 2021.



Practicing Company Secretary C. P. No: 18915 M. No: 44679

Date: 27th April, 2021 Place: Thane

UDIN: A044679C000186458